

# An Efficient Signature File Strategy for Similarity Retrieval from Large Iconic Image Databases <sup>1</sup>

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## Abstract

In the previous signature-based approaches to retrieve symbolic pictures from a large iconic image database, they only consider pictures of type-2 similarity, where a picture  $A$  is of type-2 similarity with picture  $B$  when both pictures have the same spatial relationship between any two objects in the pictures. When queries of objects, type-0 similarity and type-1 similarity are asked, signatures for objects, type-0 similarity and type-1 similarity are required. Note that a picture is of type-0 similarity when all the spatial category relationships of each object pairs are the same to the query picture, and a picture is of type-1 similarity when the picture is of type-0 similarity and all the orthogonal relationships of each object pairs are the same to the query picture. Although these 4 kinds of signatures can be constructed and stored in advance to speed up answering such kinds of queries as in Lee et al.'s approach [33], such a large signature file containing those 4 kinds of signatures really wastes space. In this paper, we first present the revised version of Y. I. Chang and Yang's bit-string-based access strategy [16], which constructs type-2 signatures. Based on the revised version, we then propose algorithms to dynamically convert those type-2 signatures into *object* signatures, *type-0* signatures and *type-1* signatures at run time so that we can answer queries of object similarity, type-0 similarity, type-1 similarity and type-2 similarity by storing only one type of signatures, i.e., the type-2 signatures. While in Lee et al.'s signature file strategy based on the 2D B-string representation [33], they have to construct and store 4 types of signatures in the database to achieve the same goal. From our simulation, we show that our approach can provide a higher rate of a correct match and needs a smaller storage requirement than Lee et al.'s approach.

(*Keywords:* access methods, content-based retrieval, 2D strings, iconic indexing, image databases, signatures, similarity retrieval)

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# 1 Introduction

An image database system is concerned with the problem of storage, retrieval, and manipulation of pictorial data in an efficient manner [1, 5]. Applications which use image databases include office automation, computer aided design, robotics, and medical pictorial archiving. Current approaches [22, 24, 23, 35, 37, 40, 41, 42] to content-based image retrieval differ in terms of image features extracted, the level of abstraction manifested in the features, and the desired degree of domain independence. There are two major categories of features: *primitive* and *logical* [19, 26]. Primitive image features such as object color, texture and shape, which are usually visual features, can be extracted automatically by image processing or semi-automatically. Logical features are abstract representations (or derived attributes) of images at various levels of detail, which contains the spatial relationship features [35]. For example, the QBIC system [22] focused on the primitive image features; while the Intelligent Image Database System (IIDS) [8] covered the logical features. (Note that logical features such as spatial relationship [40] may be synthesized from primitive features, whereas others can only be obtained through considerable human involvement.)

To answer a query "find images containing a yellow ball on the top of a green table," we may first retrieve those images which contain a ball on the top of a table. Next, from those results, we then check the colors (the primitive feature) of the ball and the table. Therefore, the perception of spatial relationships among objects, like the IIDS approach which provides a high level object-oriented search (rather than search based on the low level image primitives of objects), is one of the important criteria to discriminate the images. Consequently, a new data structure, called *iconic indexing*, which preserves the objects' spatial knowledge embedded in iconic images, is required.

Recently, many iconic indexing strategies for iconic image databases have been proposed. (Note that to simplify the design of an efficient storage and retrieval of the extended structure objects, almost all the proposed strategies assume that each object of a picture is abstracted as a minimum bounded rectangle (MBR).) S. K. Chang et al. [4] proposed a pictorial data structure, *2D string*, using symbolic projections to represent symbolic pictures preserving spatial relationships among objects. The basic idea is to project the objects of a picture along the  $x$ -axis and  $y$ -axis to form two strings representing the relative positions of objects in the  $x$ -axis and  $y$ -axis, respectively. A picture query can also be specified as a 2D string. Therefore, the problem of pictorial information retrieval then becomes a problem of 2D subsequence matching. However, the representation of 2D strings is not sufficient enough to describe pictures of arbitrary complexity completely, for example, completely or partially overlapping (MBRs of) objects. For this reason, Jungert [29] and S. K. Chang et al. [6] proposed the *2D G-string* representation which introduces more spatial operators and a cutting mechanism to handle more types of spatial relationships among objects in image databases. But a 2D G-string representation scheme is not ideally economic for complex images in terms of storage space efficiency and navigation complexity in spatial reasoning, since each overlapping object is partitioned at the begin-bound or end-bound of the other objects. Therefore, Lee and Hsu [31] proposed

a *2D C-string* representation scheme. Since the number of subparts generated by this new cutting mechanism is reduced significantly due to that the cutting lines are performed only at the end-bound points of dominating objects, the lengths of the strings representing pictures are also reduced. However, answering a pictorial query still needs some complicated procedures (which takes  $O(N^2)$  time complexity for  $N$  nodes) based on the 2D C-string representation. Therefore, Hsu and Lee [26, 27] proposed a *2D C-tree* representation, which can provide a more efficient procedure (which takes  $O(N)$  time complexity) for answering a pictorial query.

In the above various 2D string representations, objects may be partitioned into subparts in order to obtain the spatial relations among objects, especially for a complex image with overlapping objects. If there are a large number of subparts, the storage space requirement is high and processing time is long. Therefore, Lee et al. [34] proposed the *2D B-string* representation which preserves all the essential spatial information while at the same time provides indexes for the images without the need of partitioning of any objects.

On the other way, C. C. Chang et al. [11] proposed a new approach of iconic indexing by a *nine direction lower-triangular (9DLT) matrix*. In this strategy, a pictorial query can be processed by using the matrix minus operations; however, only 9 spatial relationships can be handled between any two objects. Later, Y. I. Chang and Yang [15] proposed a *prime-number-based (PN) matrix* strategy, which combines the advantages of the 2D C-string and the 9DLT matrix. In this approach, each spatial operator is represented by a product of some prime numbers, and simple matrix operations and module operations are used to answer a pictorial query. Next, Y. I. Chang et al. [18] proposed a *unique-number-based* strategy in which each spatial operator is represented as a unique number and a range checking operation is applied to answer a pictorial query.

Based upon the variations of 2D strings or the 9DLT matrix, another data structure (for indexing 2D-strings/9DLT matrix), a set of *triples*, to represent the spatial relationship between each pair of objects in a picture, was proposed [12]. For each triple, a hashing value is found and stored. Hence, the problem of image matching becomes a problem of matching hashing value sequences. [2, 12, 14]. Hash oriented algorithms for the similar match retrieval of symbolic images with  $O(K)$  search time were also proposed, where  $K$  is the number of symbolic images in the database. However, the database grows unwieldy as the space requirement for the index structure is  $O(N^2)$  where  $N$  is the number of objects in the database.

Additionally, 2D-H strings [7] and adaptive 2D-H strings [13, 17] combined the advantages of quad trees and 2D strings. However, 2D strings and 2D-H strings can only represent *directional* relationships. There are some other methods such as the  $\sigma$ -tree [30], the spatial orientation graph [24], the SK-set [28], the 2D-PIR graph [38], the geometry-based  $\theta\mathcal{R}$ -string [25], the db-tree [36], and the fuzzy set-based approach [3].

When there are a large number of images in the image database and each image contains many objects, the processing time for image retrievals is tremendous. Actually, the objects or spatial relationships among objects in a symbolic picture can be treated as attributes or keywords of a document. Thus, a signature file can speed up spatial match retrieval [21], since the comparison of each signature (for a picture) is a  $O(1)$  bitwise ANDed operation,

as compared to the sub-string matching (or matrix comparison) operations for an exactly match. That is, the signature can act as a searching filter to prune (i.e., filter out) most of the unsatisfactory images. Only the records which match the signature need to be examined further to test for exact query matches. Since a signature is a binary codeword associated with each record of the image database, the retrieval of images based on the simple bit conjunction operations can be speeded up tremendously at a very small cost of space overhead. Therefore, to handle large amounts of image databases, several access strategies [9, 10, 16, 20, 32, 33] have been proposed for and two-level signature files [39].

For example, Lee et al. [32] proposed an access strategy for retrieval by subpictures that are represented in 2D strings. Lee et al.'s strategy considers only three spatial operators along the  $x$ -axis or the  $y$ -axis; it is too rough. To reduce the rate of a false match and to reduce the number of needed record signatures, where a false match is that a record signature matches a query signature but the corresponding record does not satisfy the query, C. C. Chang et al. [9] proposed a strategy with pictures represented in 9DLT matrices. In C. C. Chang et al.'s strategy, each record signature is represented by 9 bits and 9 bit strings of size  $N$ , where  $N > 0$ . Then, C. C. Chang et al. [10] proposed a *module-oriented signature extraction* strategy, in which prime numbers are used to compose the signatures, and the module operation will be applied when the query happens which further reduces the rate of a false match.

The above access strategies consider only 9 spatial relationships. Later, Y. I. Chang and Yang [16] proposed two efficient access strategies with pictures represented in a *spatial matrix* that was described in the PN matrix strategy [15] for image databases, which can handle 169 spatial relationships. In Y. I. Chang and Yang's strategy, each record signature is represented by 26 bits and 26 bit strings of size  $N$ , where  $N > 0$ . In Y. I. Chang and Yang's second strategy, each record signature is represented by 26 bits and 26 products of prime numbers. Given the same image databases, the same query picture and the same hash functions, the rate of a false match in Y. I. Chang and Yang's both strategies can be smaller than that of the previous approaches. On the other way, Lee et al. [33] proposed an integrated signature file structure based on 2D B-strings to handle the retrieval by objects and retrieval by binary spatial relationship to different extent of similarity (*type- $i$* ,  $i = 0, 1, 2$ ). Figure 1 shows some examples of different extent of similarity. As compared to Figure 1-(a), Figure 1-(b) only contains the same objects, Figure 1-(c) contains the same objects and have the same spatial category (the *disjoin* category) between objects, which is referred as *type-0* similarity. Moreover, as compared to Figure 1-(a), Figure 1-(d) satisfies *type-0* similarity and has the same orthogonal relations, which is referred as *type-1* similarity; while Figure 1-(e) satisfies *type-1* similarity and has the same spatial relationships in  $x$ -axis and  $y$ -axis, which is referred as *type-2* similarity [33]. (Note that the difference between Figure 1-(d) and Figure 1-(e) is that the turtle immediately follows, or *meets*, the ostrich in  $x$ -axis in Figure 1-(e); while it is not in Figure 1-(d).) Hence, there are in total four kinds of signature files of 2D B-strings to be generated.

Although Lee et al.'s signature file approach [33] can answer queries of objects and *type- $i$*  similarity efficiently in terms of speed, their signature files containing 4 kinds of signatures occupy a large storage space. On the other hand, as shown in [16], Y. I. Chang



(a)



(b)



(c)



(d)



(e)

Figure 1: Types of Similarity: (a) the original picture; (b) object similarity; (c) type-0 similarity; (d) type-1 similarity; (e) type-2 similarity.

and Yang’s two access strategies are efficient enough and have a low false match rate as compared to the previous approaches [9, 32]. However, the signatures constructed from Y. I. Chang and Yang’s two strategies can only answer whether there exist pictures of type-2 similarity with the query picture. Let’s call these signatures, the *type-2* signatures. In this paper, we first present the revised version of Y. I. Chang and Yang’s bit-string-based access strategy [16], which constructs type-2 signatures. Based on the revised version, we then propose algorithms to dynamically convert those type-2 signatures into *object* signatures, *type-0* signatures and *type-1* signatures at run time so that we can answer queries of object similarity, type-0 similarity, type-1 similarity and type-2 similarity by storing only one type of signatures, i.e., the type-2 signatures. While in [33], Lee et al. have to construct 4 types of signatures in the database to achieve the same goal. From our simulation, we show that our approach can provide a higher rate of a correct match ( $= 1 - \text{the rate of a false match}$ ) and needs a smaller storage requirement than Lee et al.’s approach.

The rest of this paper is organized as follows. In Section 2, we give some definitions used in our paper. In Section 3, we will present a revised version of the bit-string-signature-based access strategy, and the corresponding type-2 signatures. In Sections 4, 5 and 6, we show how to convert such type-2 signatures into object signatures, type-0 signatures and type-1 signatures, respectively. In Section 7, we give a comparison between our approach and the signature file approach based on 2D B-strings. Finally, Section 8 gives a conclusion.

## 2 Background

In this Section, we describe the definitions of type-*i* similarity for *2D strings* (which work well for non-overlapping objects) and *2D B-strings* (which work well for overlapping objects).

### 2.1 Type-*i* Similarity for 2D Strings

The *2D string* representation was proposed by S. K. Chang et al. [4]. In this approach, let  $V$  be a set of symbols, where each symbol could represent a pictorial object or a pixel. Let  $A$  be the set  $\{=, <, :\}$ , where  $=$ ,  $<$  and  $:$  are three special symbols not in  $V$ . For example, consider the picture shown in Figure 2,  $V = \{a, b, c, d, e, f\}$ . The 2D string representing the above picture  $f$  is as follows:

$$(a = d < e : f = b < c, a = e : f < b = c < d),$$

where the symbol  $<$  denotes the left-right or below-above spatial relationship. The symbol  $=$  denotes the “at the same spatial location as” relationship and the symbol  $:$  denotes the “in the same set as” relation. The corresponding *reduced 2D string* is as follows:

$$(ad < efb < c, aef < bc < d).$$

The *rank* of each symbol in a string  $x$ , which is defined to be one plus the number “ $<$ ” preceding this symbol in  $x$ , plays an important role in 2D string matching. Let the rank of symbol  $b$  be denoted by  $r(b)$ . The ranks for each symbol in the string “ $ad < efb < c$ ” are 1, 1, 2, 2, 2 and 3, respectively.



Notation	Condition	Meaning
$A < B$	$\text{end}(A) < \text{begin}(B)$	A disjoins B
$A = B$	$\text{begin}(A) = \text{begin}(B)$ $\text{end}(A) = \text{end}(B)$	A is the same as B
$A   B$	$\text{end}(A) = \text{begin}(B)$	A is edge to edge with B
$A \% B$	$\text{begin}(A) < \text{begin}(B)$ $\text{end}(A) > \text{end}(B)$	A contains B and they have not the same bound
$A [ B$	$\text{begin}(A) = \text{begin}(B)$ $\text{end}(A) > \text{end}(B)$	A contains B and they have the same begin bound
$A ] B$	$\text{begin}(A) < \text{begin}(B)$ $\text{end}(A) = \text{end}(B)$	A contains B and they have the same end bound
$A / B$	$\text{begin}(A) < \text{begin}(B)$ $< \text{end}(A) < \text{end}(B)$	A is partly overlapping with B

Table 1: Definitions of Lee et al.’s spatial operators

can be categorized into 13 types ignoring their length along the  $x$ -(or  $y$ -) axis. Therefore, there are 169 types of spatial relationships between two rectangles in 2D space as shown in Figure 4, where operator\* means the inverse operator of the related operator. They can be categorized in five types, *disjoin*, *join*, *contain*, *belong* and *partial overlap*. The five types of the spatial relations between objects are defined in Figure 5. The measure criteria for categorization is the area of the intersection of  $A$  and  $B$ . For the picture  $f_1$  shown in Figure 6, the corresponding 2D C-string representation is as follows:

$x$ -string: A|B%C,  
 $y$ -string: B]A]C|A[C,

where a cutting occurs at the end bound of symbol B along the  $y$ -axis.

For those 169 spatial relationships organized by the 2D C-string representation, Lee et al. proposed another iconic indexing, *2D B-string* [34]. For the picture  $f_1$  shown in Figure 6, the corresponding 2D B-string representation is as follows:

$x$ -string: AA=BCCB,  
 $y$ -string: BACBCA.

The spatial relationships are derived by using the *ranks* of symbols in the *2D B-string*. The *rank* of each symbol in a string  $x$ , which is defined as the position of this symbol minus the number of '=' preceding this symbol in  $x$  string. For example, the ranks for each symbol in the string "AA=BCCB" are 1 (denoted as rank-begin(A)), 2 (denoted as rank-end(A)), 2, 3, 4, and 5, respectively. In the 2D B-string representation, three types of similarity measures are defined as follows: (1)  $C_{AB}$  denotes the category type between  $A$  and  $B$ ; (2)  $O_{AB}$  denotes the orthogonal relation between  $A$  and  $B$ , including *north*, *south*, *west* and *east* as defined formally in Figure 7; (3)  $R_{AB}$  denotes one of the 169 spatial relationships in two dimensional space between  $A$  and  $B$ . Note that the above  $C_{AB}$ ,  $O_{AB}$  and  $R_{AB}$  relationships can be derived from the ranks of symbols  $A$  and  $B$ . Based on the three types of similarity measures, the type- $i$  subpicture matching can be defined as shown in Figure 8 [34]. For example, in Figure 6,  $f_1$ ,  $f_2$  and  $f_3$  are all type-0 sub-pictures of  $f$ ;  $f_1$  and  $f_2$  are type-1 sub-pictures of  $f$ ; only  $f_1$  is type-2 sub-picture of  $f$ .



Disjoin (48)	Join (40)	Part_overlap (50)	Contain (16)	Belong (16)

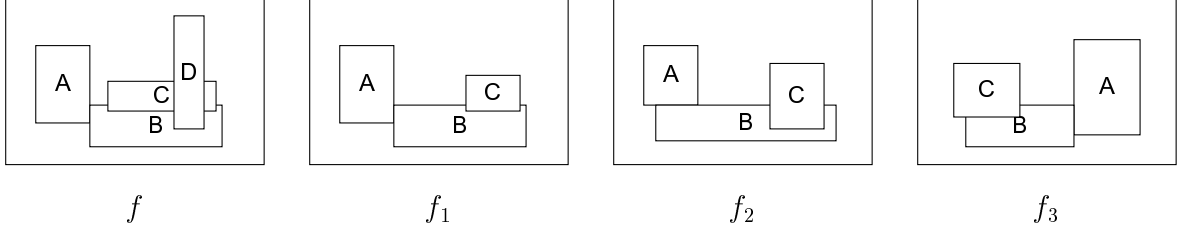


Figure 6: Picture matching examples for 2D B-strings

- Rule 1. A is in the east of B iff  $x$ -axis:  $\text{rank-end}(A) > \text{rank-end}(B)$ .  
Rule 2. A is in the west of B iff  $x$ -axis:  $\text{rank-begin}(A) < \text{rank-begin}(B)$ .  
Rule 3. A is in the north of B iff  $y$ -axis:  $\text{rank-end}(A) > \text{rank-end}(B)$ .  
Rule 4. A is in the south of B iff  $y$ -axis:  $\text{rank-begin}(A) < \text{rank-begin}(B)$ .

Figure 7: The orthogonal relation (O) rules

Picture  $f'$  is a type- $i$  subpicture of  $f$  if:

- (1) all objects in  $f'$  are also in  $f$ ;
- (2) for any two objects,  $A$  and  $B$ :
  - (type-0)  $C'_{AB} = C_{AB}$
  - (type-1)  $C'_{AB} = C_{AB}$  and  $O'_{AB} = O_{AB}$
  - (type-2)  $C'_{AB} = C_{AB}$  and  $O'_{AB} = O_{AB}$  and  $R'_{AB} = R_{AB}$

Figure 8: Three types of similarity (for 169 spatial relationships)

### 3 A Revised Version of the Bit-String-Signature-Based Access Method

In this section, we present a revised version of Y. I. Chang and Yang's bit-string-signature-based access strategy [16]. The main difference between the revised version and the original one [16] is the assignment of a unique value to each of spatial operators. Based on the new assignments of values to spatial operators, we can construct object, type-0 and type-1 signatures from a given type-2 signature efficiently. (Note that the revised version of the prime-number-based access strategy and related algorithms are shown in Appendix A.)

#### 3.1 A Spatial Matrix

Suppose a picture  $f$  contains  $m$  objects and let  $V = \{v_1, v_2, \dots, v_m\}$ . Let  $W$  be the set of 13 spatial operators  $\{<, <^*, |, |^*, [, [^*, ], ]^*, \%, \%^*, /, /^*, =\}$  defined in 2D C-string [31], where operator<sup>\*</sup> means the inverse operator of the related operator. A  $m \times m$  *spatial matrix*  $S$  of picture  $f$  is defined as follows:

$$S = \begin{matrix} & v_1 & v_2 & \cdots & v_{m-1} & v_m \\ \begin{matrix} v_1 \\ v_2 \\ \vdots \\ v_{m-1} \\ v_m \end{matrix} & \begin{bmatrix} 0 & r_{1,2}^y & \cdots & \cdots & r_{1,m}^y \\ r_{1,2}^x & 0 & \ddots & & \vdots \\ \vdots & \ddots & 0 & \ddots & \vdots \\ \vdots & & \ddots & 0 & r_{m-1,m}^y \\ r_{1,m}^x & \cdots & \cdots & r_{m-1,m}^x & 0 \end{bmatrix} \end{matrix}$$

where the lower triangular matrix stores the spatial information along the  $x$ -axis, and the upper triangular matrix stores the spatial information along the  $y$ -axis. That is,  $S[v_i, v_j] = r_{j,i}^x$  if  $i > j$ ;  $S[v_i, v_j] = r_{i,j}^y$  if  $i < j$ ;  $S[v_i, v_j] = 0$  if  $i = j$ ,  $\forall v_i, v_j \in V$ ,  $\forall r_{j,i}^x, r_{i,j}^y \in W$ ,  $1 \leq i, j \leq m$ , where  $r_{j,i}^x$  is the spatial operator between objects  $v_i$  and  $v_j$  along the  $x$ -axis and  $r_{i,j}^y$  is the spatial operator between objects  $v_i$  and  $v_j$  along the  $y$ -axis. Note that in this representation, we always record the relationships between two objects  $v_i$  and  $v_j$  from the view point of object  $v_i$  no matter along the  $x$ -axis or the  $y$ -axis, where  $i < j$ . That is why  $S[v_i, v_j] = r_{j,i}^x$  when  $i > j$ .

For the picture shown in Figure 9, the corresponding spatial matrix  $S$  is shown as follows:

$$S = \begin{matrix} & A & B & C & D & E \\ \begin{matrix} A \\ B \\ C \\ D \\ E \end{matrix} & \begin{bmatrix} 0 & | & \% & \%^* & /^* \\ < & 0 & <^* & \%^* & <^* \\ < & | & 0 & \%^* & /^* \\ <^* & <^* & <^* & 0 & [ \\ \%^* & /^* & <^* & < & 0 \end{bmatrix} \end{matrix}$$

Following the idea similar to [18], we let 1, 2, 3, 4, 8, 12, 7, 11, 9, 13, 5, 6 and 10 denote the unique identifier (*uid*) of spatial operators  $<$ ,  $<^*$ ,  $|$ ,  $|^*$ ,  $[$ ,  $[^*$ ,  $]$ ,  $]^*$ ,  $\%$ ,  $\% ^*$ ,  $/$ ,  $/^*$  and  $=$ ,

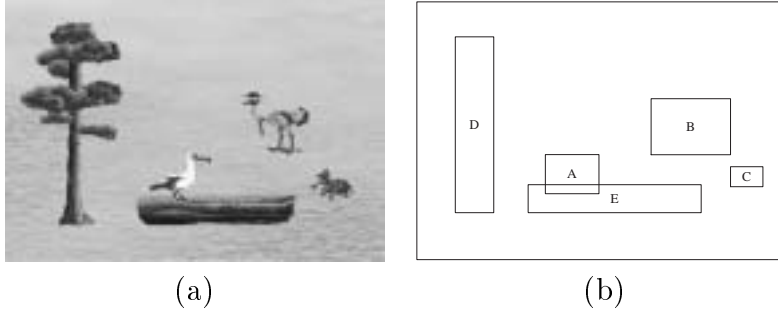


Figure 9: An image and its symbolic representation

<i>operator</i>	<	<*		*	/	/*	]	[	%	=	]*	[*	%*
<i>Proposed</i>	1	2	3	4	5	6	7	8	9	10	11	12	13
Y. I. Chang and Yang [16]	1	2	3	4	11	12	7	5	9	13	8	6	10

Figure 10: Uids of 13 spatial operators

respectively. Figure 10 shows the relationship between our *uids* and Y. I. Chang and Yang's assignments [16] for spatial operators. (Note that in [16], they use 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 and 13 to denote the spatial operators <, <\*, |, |\*, [, [\*], ],]\*, %, %\*, /, /\* and =, respectively.) Based on the new assignment of a unique identifier(*uid*) to each of 13 spatial operators as shown in Figure 10, we can rearrange the total 169 spatial relationships defined in the 2D C-string strategy [31], in Table 2. Under this new assignment, a *Category* table is formed such that relationships of the same category are grouped together as shown in Table 3. In this way, the processing of category classification becomes a range checking operation, which can simplify the processing of the decision of the spatial category relationships (as described in Section 5).

Next, the corresponding *reduced spatial matrix* (RSM) is as follows:

$$RSM = \begin{matrix} & \begin{matrix} A & B & C & D & E \end{matrix} \\ \begin{matrix} A \\ B \\ C \\ D \\ E \end{matrix} & \begin{bmatrix} 0 & 3 & 9 & 13 & 6 \\ 1 & 0 & 2 & 13 & 2 \\ 1 & 3 & 0 & 13 & 6 \\ 2 & 2 & 2 & 0 & 8 \\ 13 & 6 & 2 & 1 & 0 \end{bmatrix} \end{matrix}$$

Moreover, a spatial *x*-string set  $T^x$  is defined as  $\{v_j v_i r_{ij}^x | 1 \leq j < i \leq m, r_{ji}^x \in \{1, 2, \dots, 12, 13\}\}$ , and a spatial *y*-string set  $T^y$  is defined as  $\{v_i v_j r_{ij}^y | 1 \leq i < j \leq m, r_{ij}^y \in \{1, 2, \dots, 12, 13\}\}$ . Therefore, the corresponding spatial *x*-string set for the above RSM is

$$T^x = \{ AB1, AC1, AD2, AE13, BC3, BD2, BE6, CD2, CE2, DE1 \},$$

		1	2	3	4	5	6	7	8	9	10	11	12	13
	$f \setminus f'$ $A, B$	<	<*		*	/	/*	]	[	%	=	]*	[*	%*
1	<													
2	<*													
3														
4	*													
5	/													
6	/*													
7	]													
8	[													
9	%													
10	=													
11	]*													
12	[*													
13	%*													

Table 2: A new organization of 169 spatial relationships

$uid_{A,B}^x \backslash uid_{A,B}^y$	1	2	3	4	5	6	7	8	9	10	11	12	13
1	D	D	D	D	D	D	D	D	D	D	D	D	D
2	D	D	D	D	D	D	D	D	D	D	D	D	D
3	D	D	J	J	J	J	J	J	J	J	J	J	J
4	D	D	J	J	J	J	J	J	J	J	J	J	J
5	D	D	J	J	P	P	P	P	P	P	P	P	P
6	D	D	J	J	P	P	P	P	P	P	P	P	P
7	D	D	J	J	P	P	C	C	C	C	P	P	P
8	D	D	J	J	P	P	C	C	C	C	P	P	P
9	D	D	J	J	P	P	C	C	C	C	P	P	P
10	D	D	J	J	P	P	C	C	C	B,C	B	B	B
11	D	D	J	J	P	P	P	P	P	B	B	B	B
12	D	D	J	J	P	P	P	P	P	B	B	B	B
13	D	D	J	J	P	P	P	P	P	B	B	B	B

Table 3: The category table

and the corresponding spatial  $y$ -string set for the above  $RSM$  is

$$T^y = \{ AB3, AC9, AD13, AE6, BC2, BD13, BE2, CD13, CE6, DE8 \}.$$

### 3.2 Type-2 Record Signatures

Based on the 13 spatial operators, we now define a Record Signature ( $RS$ ). A  $RS$  consists of two parts,  $RS^1$  and  $RS^2$  as shown in Figure 11.  $RS^1$  contains 2 segments  $RS^{1x}$  and  $RS^{1y}$ , which represent the record signature flags from the view point of  $x$ -axis and  $y$ -axis, respectively, and each segment is a 13-bit string. These two 13-bit strings are used to indicate the existence or absence of those 13 spatial operators along the  $x$ -axis and  $y$ -axis, respectively.  $RS^2$  consists of two segments,  $RS^{2x}$  and  $RS^{2y}$ . Each of these two segments contains 13 bit strings. The  $i$ -th bit string (or signature) among those 13 bit strings is used to record the union of the signatures of those pairs of objects which have the same  $i$ -th spatial operator. We use  $RS^{2x}(i)$  to represent the  $i$ -th segment of  $RS^{2x}$ . That is,  $RS^{2x}(i) = \cup \theta_r(XYi)$ ,  $X, Y \in V$ ,  $i \in \{ 1, 2, \dots, 12, 13 \}$ , where  $\theta_r$  is the hash function of the record signature. The algorithm for efficient data access of image databases is described as follows.

#### Algorithm (Record Signature)

(Step 1) According to the reduced spatial matrix, list all the spatial  $x$ -string sets  $T^x$  and spatial  $y$ -string sets  $T^y$ .

(Step 2) Design the function  $\theta_r$  according to the given  $k_r$  and  $b_r$ , which maps each pair of

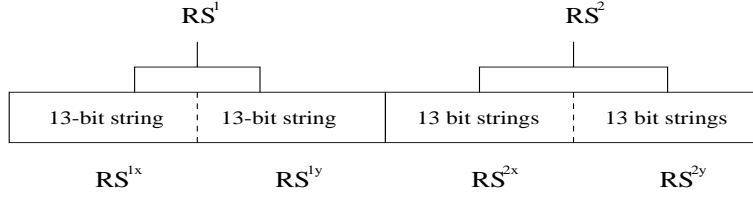


Figure 11: The components of a Record Signature

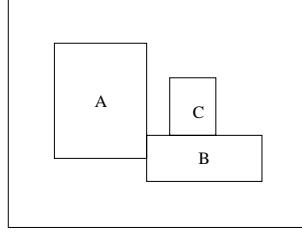


Figure 12: An example

symbols into a unique bit string, where  $k_r$  denotes the number of 1's in a record signature and  $b_r$  denotes the number of bits in a record signature.

(Step 3) Set all bits in  $RS$  to 0.

(Step 4) For each spatial  $x$ -string  $ABi$  in  $T^x$ , we let the  $i$ -th bit of  $RS^{1x}$  be 1, and then perform  $RS^{2x}(i) = RS^{2x}(i) \cup \theta_r(AB)$ .

(Step 5) Repeat Step 4 by replacing  $T^x$  with  $T^y$ .

(Step 6) Compress  $RS^2$  by removing useless bit strings, resulting in a reduced form of a record signature. If the  $i$ -th bit of  $RS^{1x}$  (or  $RS^{1y}$ ) is 0, then remove  $RS^{2x}(i)$  (or  $RS^{2y}(i)$ ).

To illustrate the algorithm, let's see the following example. For the figure shown in Figure 12, first, we construct the spatial matrix and the reduced spatial matrix ( $RSM$ ).

$$S = \begin{matrix} & \begin{matrix} A & B & C \end{matrix} \\ \begin{matrix} A \\ B \\ C \end{matrix} & \begin{bmatrix} 0 & /* & \% \\ | & 0 & | \\ < & \% & 0 \end{bmatrix} \end{matrix} \quad RSM = \begin{matrix} & \begin{matrix} A & B & C \end{matrix} \\ \begin{matrix} A \\ B \\ C \end{matrix} & \begin{bmatrix} 0 & 6 & 9 \\ 3 & 0 & 3 \\ 1 & 9 & 0 \end{bmatrix} \end{matrix}$$

Applying the algorithm, we can construct the Record Signature of the picture as follows.

(1) Generate the spatial  $x$ -string set  $T^x$  and spatial  $y$ -string set  $T^y$ .

$$T^x = \{ AB3, AC1, BC9 \}.$$

$$T^y = \{ AB6, AC9, BC3 \}.$$

(2) Design the function  $\theta_r$  (where  $b_r = 5$ ,  $k_r = 2$ ) which maps each pair of symbols to a unique bit string.

spatial string	$\theta_r$
AB	10001
AC	10100
BC	01100

- (3) Set all bits in  $RS$  to 0.

$$\begin{aligned}
RS &= RS^1 + RS^2 \\
&= 00000000000000 00000000000000 00000 00000 00000 00000 00000 00000 \\
&00000 00000 00000 00000 00000 00000 00000 00000 00000 00000 00000 \\
&00000 00000 00000 00000 00000 00000 00000 00000 00000.
\end{aligned}$$

- (4) If  $ABi \in T^x$ , we let the  $i$ -th bit of  $RS^{1x}$  be 1, and then perform  $RS^{2x}(i) = RS^{2x}(i) \cup \theta_r(AB)$ .

$$\begin{aligned}
RS^{1x} &= 1010000010000. \\
RS^{2x}(1) &= RS^{2x}(1) \cup \theta_r(AC) = 10100. \\
RS^{2x}(3) &= RS^{2x}(3) \cup \theta_r(AB) = 10001. \\
RS^{2x}(9) &= RS^{2x}(9) \cup \theta_r(BC) = 01100.
\end{aligned}$$

- (5) Repeat Step 4 by replacing  $T^x$  by  $T^y$ . We have

$$\begin{aligned}
RS^{2y}(3) &= RS^{2y}(3) \cup \theta_r(BC) = 01100. \\
RS^{2y}(6) &= RS^{2y}(6) \cup \theta_r(AB) = 10001. \\
RS^{2y}(9) &= RS^{2y}(9) \cup \theta_r(AC) = 10100.
\end{aligned}$$

- (6) Compress  $RS^2$  by removing useless bit strings. The resulting reduced from the record signature is as follows:

$$RS = 1010000010000 001001001000 10100 10001 01100 01100 10001 10100.$$

## 4 Object Signatures Based on Bit-Strings

To simplify the presentation of our algorithm, we convert each signature back to its *complete* form, instead of the *reduced* form, when a query involving objects, type-0 and type-1 occurs. For example, the signature

$$00000000000001 10000000000000 10001 10001$$

means that only the 13-th spatial relationship in  $x$ -axis and first spatial relationship in  $y$ -axis occur. While the complete form for the same picture is

$$\begin{aligned}
&00000000000001 10000000000000 \\
&00000 00000 00000 00000 00000 00000 00000 00000 00000 00000 00000 00000 10001 \\
&10001 00000 00000 00000 00000 00000 00000 00000 00000 00000 00000 00000 00000.
\end{aligned}$$

Given a type-2 record signature in a complete form, we now present the algorithm to convert such a type-2 record signature into the related object record signature (*ORS*) as follows.



### Algorithm Object

#### (Convert a Type-2 Record Signature into an Object Record Signature)

(Step 1) Let the numbers of bits which are set to 1 in  $RS^{1x}$  and  $RS^{1y}$  to  $C^x$  and  $C^y$ , respectively. If  $C^x \leq C^y$ , let  $TRS^1 = RS^{1x}$  and  $TRS^2 = RS^{2x}$ ; otherwise, let  $TRS^1 = RS^{1y}$  and  $TRS^2 = RS^{2y}$ .

(Step 2) Set every bit in  $ORS$  to 0.

(Step 3) For  $i = 1$  to 13 do

If  $TRS^1(i) = 1$  then  $ORS = ORS \cup TRS^2(i)$ , where  $TRS^1(i)$  means the  $i$ -th bit of  $TRS^1$  and  $TRS^2(i)$  means the  $i$ -th bit string of  $TRS^2$ .

(Note that the purpose of Step 1 is to reduce the burden in Step 3; that is, we try to choose the one ( $x$ -axis or  $y$ -axis) which has a small number of non-zero bit-strings.)

To illustrate the algorithm, let's see the following example. Suppose there are four pictures in the database,  $P_1$ ,  $P_2$ ,  $P_3$  and  $P_4$  as shown in Figure 13, and the hash function  $\theta_r$  (where  $b_r = 5$ ,  $k_r = 2$ ) is defined as follows.

spatial string	$\theta_r$
AB	10001
AC	10100
BC	01100
AD	10010
BD	01010
CD	00110

The corresponding reduced spatial matrices are as follows.

$$\begin{aligned}
 RSM_1 &= \begin{matrix} & A & B & D \\ A & \begin{bmatrix} 0 & 6 & 8 \end{bmatrix} \\ B & \begin{bmatrix} 3 & 0 & 5 \end{bmatrix} \\ D & \begin{bmatrix} 1 & 9 & 0 \end{bmatrix} \end{matrix} & RSM_2 &= \begin{matrix} & A & B & C \\ A & \begin{bmatrix} 0 & 6 & 9 \end{bmatrix} \\ B & \begin{bmatrix} 3 & 0 & 9 \end{bmatrix} \\ C & \begin{bmatrix} 5 & 6 & 0 \end{bmatrix} \end{matrix} \\
 RSM_3 &= \begin{matrix} & A & B & C \\ A & \begin{bmatrix} 0 & 3 & 1 \end{bmatrix} \\ B & \begin{bmatrix} 5 & 0 & 5 \end{bmatrix} \\ C & \begin{bmatrix} 6 & 6 & 0 \end{bmatrix} \end{matrix} & RSM_4 &= \begin{matrix} & A & B & C \\ A & \begin{bmatrix} 0 & 4 & 6 \end{bmatrix} \\ B & \begin{bmatrix} 5 & 0 & 5 \end{bmatrix} \\ C & \begin{bmatrix} 1 & 9 & 0 \end{bmatrix} \end{matrix}
 \end{aligned}$$

Based on the revised version of Y. I. Chang and Yang's algorithm, the corresponding complete form of type-2 signatures for those pictures are as follows:

$$\begin{aligned}
 RS_1 &= 1010000010000 \ 0000110100000 \\
 &\quad 10010 \ 00000 \ 10001 \ 00000 \ 00000 \ 00000 \ 00000 \ 00000 \ 01010 \ 00000 \ 00000 \ 00000 \ 00000 \\
 &\quad 00000 \ 00000 \ 00000 \ 00000 \ 01010 \ 10001 \ 00000 \ 10010 \ 00000 \ 00000 \ 00000 \ 00000 \ 00000,
 \end{aligned}$$

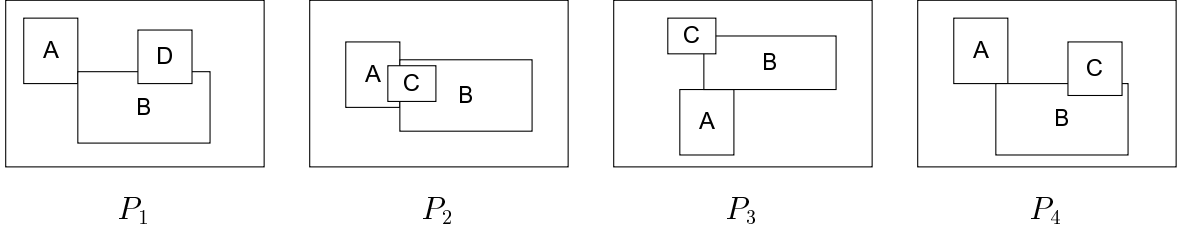


Figure 13: A 4-picture image database

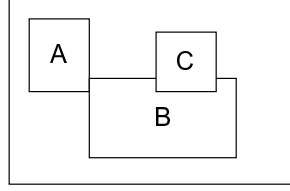


Figure 14: A query picture  $q_1$

```

RS2 = 0010110000000 0000010010000
      00000 00000 10001 00000 10100 01100 00000 00000 00000 00000 00000 00000
      00000 00000 00000 00000 00000 10001 00000 00000 11100 00000 00000 00000,
RS3 = 0000110000000 1010100000000
      00000 00000 00000 00000 10001 11100 00000 00000 00000 00000 00000 00000
      10100 00000 10001 00000 01100 00000 00000 00000 00000 00000 00000 00000,
RS4 = 1000100010000 0001110000000
      10100 00000 00000 00000 10001 00000 00000 00000 01100 00000 00000 00000
      00000 00000 00000 10001 01100 10100 00000 00000 00000 00000 00000 00000.

```

Then, let's see how to convert the type-2 signatures into the object signatures. Take picture  $P_1$  as an example.

$$ORS_1 = 10010 \cup 10001 \cup 01010 = 11011.$$

(Note that in this example, we choose bit-strings in  $x$ -axis.)

In the same way, the resulting object signatures for  $P_2$ ,  $P_3$  and  $P_4$  are as follows:

$$\begin{aligned}
ORS_2 &= 10001 \cup 11100 = 11101, \\
ORS_3 &= 10001 \cup 11100 = 11101, \\
ORS_4 &= 10100 \cup 10001 \cup 01100 = 11101.
\end{aligned}$$

Given a query picture  $q_1$  as shown in Figure 14, the corresponding complete form of the type-2 query signature is as follows:

$$QRS = 1010000010000 0000110100000$$

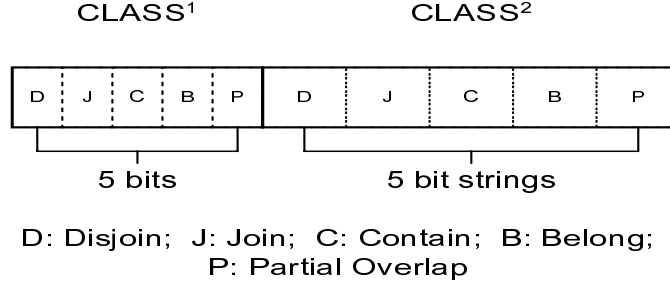


Figure 15: Type-0 signature *CLASS*

10100 00000 10001 00000 00000 00000 00000 00000 01100 00000 00000 00000 00000  
00000 00000 00000 00000 01100 10001 00000 10100 00000 00000 00000 00000 00000.

The corresponding query object record signature (*QORS*) is as follows,

$QORS = 10100 \cup 10001 \cup 01100 = 11101$ . Next, since  $QORS \cap ORS_1 \neq QORS$ ,

$QORS \cap ORS_2 = QORS$ ,  $QORS \cap ORS_3 = QORS$  and  $QORS \cap ORS_4 = QORS$ , we conclude that pictures  $P_2$ ,  $P_3$  and  $P_4$  may have the same objects with the query picture  $q_1$  while picture  $P_1$  has some objects different from the query picture  $q_1$ .

## 5 Type-0 Signatures Based on Bit-Strings

Figure 15 shows the structure of the type-0 signature, *CLASS*. Given a type-2 record signature in a complete form, we now present the algorithm to convert such a type-2 record signature into the related type-0 record signature (*CLASS*) based on the observation from Table 3 as follows.

### Algorithm Type-0

(Convert a Type-2 Record Signature into a Type-0 Record Signature)

(Step 1) Set every bit in *CLASS* to 0.

(Step 2)  $CLASS^1(1) = \bigcup_{i=1}^2 RS^{1x}(i) \cup \bigcup_{i=1}^2 RS^{1y}(i)$  /\* Disjoin \*/

if  $CLASS^1(1) = 1$  then

$CLASS^2(1) = \bigcup_{i=1}^2 RS^{2x}(i) \cup \bigcup_{i=1}^2 RS^{2y}(i)$

(Step 3)  $CLASS^1(2) = (\bigcup_{i=3}^4 RS^{1x}(i) \cap \bigcup_{i=3}^{13} RS^{1y}(i)) \cup$  /\* Join \*/  
 $(\bigcup_{i=5}^{13} RS^{1x}(i) \cap \bigcup_{i=3}^4 RS^{1y}(i))$

$$\begin{aligned}
& \text{if } CLASS^1(2) = 1 \text{ then} \\
& \quad CLASS^2(2) = (\bigcup_{i=3}^4 RS^{2x}(i) \cap \bigcup_{i=3}^{13} RS^{2y}(i)) \cup \\
& \quad (\bigcup_{i=5}^{13} RS^{2x}(i) \cap \bigcup_{i=3}^4 RS^{2y}(i)) \\
& \text{(Step 4) } CLASS^1(3) = \bigcup_{i=7}^{10} RS^{1x}(i) \cap \bigcup_{i=7}^{10} RS^{1y}(i) \quad /* Contain */ \\
& \quad \text{if } CLASS^1(3) = 1 \text{ then} \\
& \quad \quad CLASS^2(3) = \bigcup_{i=7}^{10} RS^{2x}(i) \cap \bigcup_{i=7}^{10} RS^{2y}(i) \\
& \text{(Step 5) } CLASS^1(4) = \bigcup_{i=10}^{13} RS^{1x}(i) \cap \bigcup_{i=10}^{13} RS^{1y}(i) \quad /* Belong */ \\
& \quad \text{if } CLASS^1(4) = 1 \text{ then} \\
& \quad \quad CLASS^2(4) = \bigcup_{i=10}^{13} RS^{2x}(i) \cap \bigcup_{i=10}^{13} RS^{2y}(i) \\
& \text{(Step 6) } CLASS^1(5) = (\bigcup_{i=5}^6 RS^{1x}(i) \cap \bigcup_{i=5}^{13} RS^{1y}(i)) \cup \quad /* Partial overlap */ \\
& \quad (\bigcup_{i=7}^{13} RS^{1x}(i) \cap \bigcup_{i=5}^6 RS^{1y}(i)) \cup \\
& \quad (\bigcup_{i=7}^9 RS^{1x}(i) \cap \bigcup_{i=11}^{13} RS^{1y}(i)) \cup \\
& \quad (\bigcup_{i=11}^{13} RS^{1x}(i) \cap \bigcup_{i=7}^9 RS^{1y}(i)) \\
& \quad \text{if } CLASS^1(5) = 1 \text{ then} \\
& \quad \quad CLASS^2(5) = (\bigcup_{i=5}^6 RS^{2x}(i) \cap \bigcup_{i=5}^{13} RS^{2y}(i)) \cup \\
& \quad \quad (\bigcup_{i=7}^{13} RS^{2x}(i) \cap \bigcup_{i=5}^6 RS^{2y}(i)) \cup \\
& \quad \quad (\bigcup_{i=7}^9 RS^{2x}(i) \cap \bigcup_{i=11}^{13} RS^{2y}(i)) \cup \\
& \quad \quad (\bigcup_{i=11}^{13} RS^{2x}(i) \cap \bigcup_{i=7}^9 RS^{2y}(i))
\end{aligned}$$

Take picture  $P_1$  shown in Figure 13 as an example, we now show how to convert the type-2 signature into the corresponding type-0 signature.

$$\begin{aligned}
CLASS_1^1(1) &= RS_1^{1x}(1) = 1 \\
CLASS_1^2(1) &= RS_1^{2x}(1) = 10010 \\
\\ 
CLASS_1^1(2) &= RS_1^{1x}(3) \cap (RS_1^{1y}(5) \cup RS_1^{1y}(6) \cup RS_1^{1y}(8)) = 1 \\
CLASS_1^2(2) &= RS_1^{2x}(3) \cap (RS_1^{2y}(5) \cup RS_1^{2y}(6) \cup RS_1^{2y}(8)) \\
&= 10001 \cap (01010 \cup 10001 \cup 10010) = 10001 \\
\\ 
CLASS_1^1(3) &= 0 \\
CLASS_1^2(3) &= 00000 \\
\\ 
CLASS_1^1(4) &= 0 \\
CLASS_1^2(4) &= 00000 \\
\\ 
CLASS_1^1(5) &= RS_1^{1x}(9) \cap (RS_1^{1y}(5) \cup RS_1^{1y}(6)) = 1 \\
CLASS_1^2(5) &= RS_1^{2x}(9) \cap (RS_1^{2y}(5) \cup RS_1^{2y}(6)) \\
&= 01010 \cap (01010 \cup 10001) = 01010
\end{aligned}$$

Therefore,  $CLASS_1 = 11001 \ 10010 \ 10001 \ 00000 \ 00000 \ 01010$ .

In the same way, the resulting type-0 signatures for  $P_2$ ,  $P_3$  and  $P_4$  are as follows:

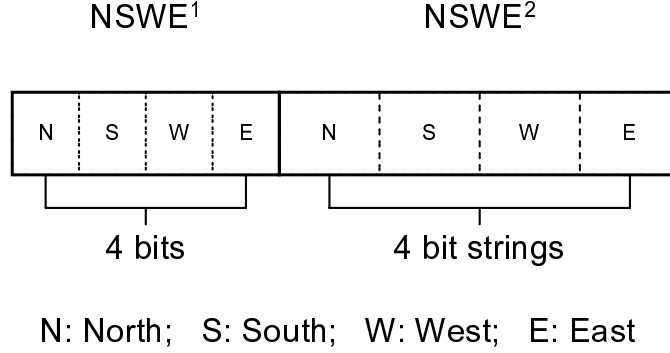


Figure 16: Type-1 signature  $NSWE$

$CLASS_2 = 01001\ 00000\ 10001\ 00000\ 00000\ 11100,$   
 $CLASS_3 = 11001\ 10100\ 10001\ 00000\ 00000\ 01100,$   
 $CLASS_4 = 11001\ 10100\ 10001\ 00000\ 00000\ 01100.$

Given a query picture  $q_1$  as shown in Figure 14, the corresponding type-0 query signature ( $QCLASS$ ) is as follows:

$QCLASS = 11001\ 10100\ 10001\ 00000\ 00000\ 01100.$

Next, since  $QCLASS \cap CLASS_1 \neq QCLASS$ ,  $QCLASS \cap CLASS_2 \neq QCLASS$ ,  $QCLASS \cap CLASS_3 = QCLASS$  and  $QCLASS \cap CLASS_4 = QCLASS$ , we conclude that pictures  $P_3$  and  $P_4$  are of type-0 similarity with the query picture  $q_1$ , while pictures  $P_1$  and  $P_2$  are not of type-0 similarity with the query picture  $q_1$ .

## 6 Type-1 Signatures Based on Bit-Strings

Figure 16 shows the structure of the type-1 signature,  $NSWE$ . Given a type-2 record signature in a complete from, we now present the algorithm to convert such a type-2 record signature into the related type-1 record signature ( $NSWE$ ) as follows.

### Algorithm Type-1

(Convert a Type-2 Record Signature into a Type-1 Record Signature)

(Step 1) Set every bit in  $NSWE$  to 0.

(Step 2) For  $i \in \{2, 4, 6, 8, 9\}$  do /\* North \*/  
     if  $RS^{1y}(i)$  is 1, set  $NSWE^1(1)$  to 1, and let  $NSWE^2(1) = NSWE^2(1) \cup RS^{2y}(i)$

(Step 3) For  $i \in \{1, 3, 5, 7, 9\}$  do /\* South \*/  
     if  $RS^{1y}(i)$  is 1, set  $NSWE^1(2)$  to 1, and let  $NSWE^2(2) = NSWE^2(2) \cup RS^{2y}(i)$

(Step 4) For  $i \in \{1, 3, 5, 7, 9\}$  do /\* West \*/  
     if  $RS^{1x}(i)$  is 1, set  $NSWE^1(3)$  to 1, and let  $NSWE^2(3) = NSWE^2(3) \cup RS^{2x}(i)$

(Step 5) For  $i \in \{2, 4, 6, 8, 9\}$  do /\* East \*/  
     if  $RS^{1x}(i)$  is 1, set  $NSWE^1(4)$  to 1, and let  $NSWE^2(4) = NSWE^2(4) \cup RS^{2x}(i)$

For those four pictures  $P_1, P_2, P_3$  and  $P_4$  as shown in Figure 13, only pictures  $P_3$  and  $P_4$  are of the type-0 similarity; therefore, we only have to check whether pictures  $P_3$  and  $P_4$  are of type-1 similarity with a query picture  $q_1$ . We now convert the type-2 signatures of  $P_3$  and  $P_4$  into the type-1 signatures. Take picture  $P_3$  as an example.

$$\begin{aligned} NSW E_1^1(1) &= 0 \\ NSW E_1^2(1) &= 00000 \end{aligned}$$

$$\begin{aligned} NSW E_1^1(2) &= RS_1^{1y}(1) \cup RS_1^{1y}(3) \cup RS_1^{1y}(5) = 1 \\ NSW E_1^2(2) &= RS_1^{2y}(1) \cup RS_1^{2y}(3) \cup RS_1^{2y}(5) \\ &= 10100 \cup 10001 \cup 01100 = 11101 \end{aligned}$$

$$\begin{aligned} NSW E_1^1(3) &= RS_1^{1x}(5) = 1 \\ NSW E_1^2(3) &= RS_1^{2x}(5) = 10001 \end{aligned}$$

$$\begin{aligned} NSW E_1^1(4) &= RS_1^{1x}(6) = 1 \\ NSW E_1^2(4) &= RS_1^{2x}(6) = 11100 \end{aligned}$$

Therefore,  $NSWE_3 = 0111 \ 00000 \ 11101 \ 10001 \ 11100$ .

In the same way, the resulting type-1 signature for  $P_4$  are as follows:

$$NSWE_4 = 1111 \ 10101 \ 01100 \ 11101 \ 01100.$$

Given a query picture  $q_1$  as shown in Figure 14, the corresponding type-1 query signature ( $QNSWE$ ) is as follows:

$$QNSWE = 1111 \ 10101 \ 01100 \ 11101 \ 01100.$$

Next, since  $QNSWE \cap NSW E_3 \neq QNSWE$  and  $QNSWE \cap NSW E_4 = QNSWE$ , we conclude that pictures  $P_4$  is of type-1 similarity with the query picture  $q_1$ , while pictures  $P_3$  is not of type-1 similarity with the query picture  $q_1$ .

## 7 A Comparison

The performance of an access strategy is measured by the rate of a false match (or the rate of a correct match which equals to 1 - the rate of a false match). Obviously, as the size of a signature is increased, the rate of a false match is decreased. However, the larger the size of a signature is, the more the space needs. Moreover, the design of perfect function  $\theta_r$  helps to reduce the false match rate, too. Therefore, the performance of an access strategy depends on the size of a signature and the hash function  $\theta_r$ , which has been studied in [10]. In general, the rate of a false match in prime-number-based algorithms will be smaller than that in bit-string-based algorithms. The reason is that the union of two bit strings may be the same as another bit string, while the product of two prime numbers will never be the same as another prime number.

As stated in [16], Y. I. Chang and Yang's access strategies can record 169 spatial relationships between objects, as compared to only 9 spatial relationships represented in other access strategies [9, 10, 32]. Due to the same reason, Y. I. Chang and Yang's access strategies can distinguish some similar pictorial pictures, while they seem to be the same in other access strategies. Given the same image databases, the same query picture and the same hash functions, the rate of a false match in Y. I. Chang and Yang's both strategies will never be greater than that of Lee et al.'s strategy [32] or C. C. Chang et al.'s strategies [9, 10]. Since our strategies are the revised versions of Y. I. Chang and Yang's access strategies [16], our strategies also provide the advantages of Y. I. Chang and Yang's access strategies as described above. Moreover, based on our revised version of Y. I. Chang and Yang's access strategies, we can efficiently construct the object, type-0 and type-1 signatures from a given type-2 signature. While in Lee et al.'s signature file strategy based on the 2D B-string representation [33], to answer queries of objects and type- $i$  similarity, they have construct individual object, type-0, type-1 and type-2 signatures, which may result in a large storage cost.

Basically, in Lee et al.'s approach [33], disjoint coding and superimposed coding are combined to generate the signature for type- $i$  similarity retrieval. Each type- $i$  similarity can be classified into five types of category relationships. Naturally, disjoint coding can divide bit pattern width ( $W$ ) into five disjoint fields. Each field might have many key values, therefore the disjunction of possible key values via superimposed coding is suitable in each field. For the picture shown in Figure 17, Figure 18 shows a comparison of our approach and Lee et al.'s approach. From Figure 18, we show that only 46 bits are needed in our first access strategy, while 56 bits are always needed in Lee et al.'s strategy, where the bit pattern width for objects = 8 and the bit pattern width for type- $i$  = 16 in Lee et al.'s strategy. (Note that here, in our strategy, we use the hash function shown in Figure 19.)

Furthermore, we will use some examples to show that our approach can provide a lower rate of a false match than Lee et al.'s approach. First, for answering queries of retrieval by objects, let's take Figure 20 as an example. Based on Lee et al.'s strategy [33], pictures  $p_1$  and  $p_2$  have the same objects signature as follows: 00001011. The reason is that when  $W = 8$ , they apply the hash function which maps all bits in  $W$  to zero except the bit ('X' -

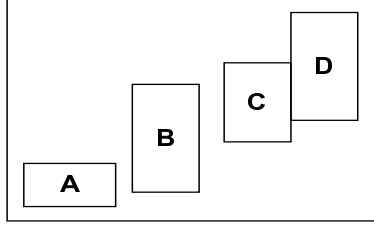


Figure 17: An example for comparing the number of bits in a signature

	(object)	(type-0)	(type-1)	(type-2)
Lee et al.[33]	00001111	0001111001000000	0001111001000000	0000111100001000
Proposed	1010000000000 1000100000000 11111 00110 10010 11111			

Figure 18: A comparison

spatial string	$\theta_r$
AB	10001
AC	10100
BC	01100
AD	10010
BD	01010
CD	00110

Figure 19: The hash function used in Figure 17 (and Figure 22)



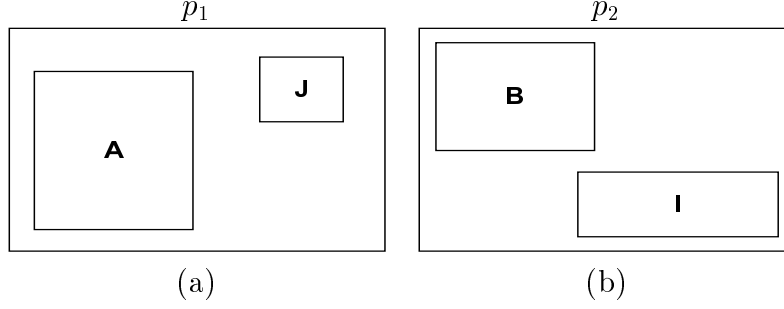


Figure 20: An example for retrieval by objects: (a) picture  $p_1$ ; (b) picture  $p_2$ .

spatial string	$\theta_r$
AB	10001
AI	10100
AJ	11000
BI	10010
BJ	00101
IJ	01010

Figure 21: The hash function used in Figure 20

‘ $A$ ’)  $\bmod 8 + 1$  being set to 1, where  $X$  is the object name, for example,  $B$ . However, based on our approach, the corresponding objects signatures of pictures  $p_1$  and  $p_2$  constructed from the type-2 signature using the hash function shown in Figure 21 are as follows:

$$\begin{aligned} p_1: & 11000, \\ p_2: & 10010. \end{aligned}$$

That is, Lee et al.’s signatures will cause a false match. For the example shown in Figure 20, our approach creates two different objects signatures, while Lee et al.’s approach creates the same signature for two different pictures. Consequently, for objects signatures, our approach can provide a lower rate of false match than Lee et al.’s approach.

Second, for answering queries of type-0 similarity, let’s take Figure 22 as an example. Based on Lee et al.’s strategy [33], pictures  $p_1$  and  $p_2$  have the same type-0 signature as follows: 0000000 000 11 01 00. The reason is that when  $W = 16$ , they use the following function  $H$  to create the key value (as the input to the hash function):

$$H = ('X' - 'A') \times 13 + ('Y' - 'X')$$

and the hash function (called the transformation function):

$$T_j = H \bmod w_j + 1,$$

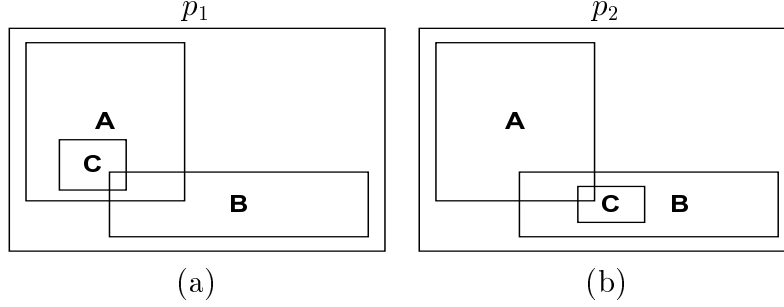


Figure 22: An example for type-0 similarity: (a) picture  $p_1$ ; (b) picture  $p_2$ .

where  $X$  and  $Y$  are object names,  $1 \leq j \leq 5$ ,  $w_1 = 7$ ,  $w_2 = 3$ ,  $w_3 = 2$ ,  $w_4 = 2$  and  $w_5 = 2$ . Note that  $w_1$ ,  $w_2$ ,  $w_3$ ,  $w_4$ , and  $w_5$  denote the bit pattern width of the disjoint, join, partly overlap, contain and belong categories, respectively. However, based on our approach, the corresponding type-0 signatures of pictures  $p_1$  and  $p_2$  constructed from the type-2 signature are as follows:

$$\begin{aligned} p_1: & 00101\ 00000\ 00000\ 10100\ 00000\ 11101, \\ p_2: & 00101\ 00000\ 00000\ 01100\ 00000\ 10101. \end{aligned}$$

That is, Lee et al.'s signatures will cause a false match. For the example shown in Figure 22, our approach creates two different type-0 signatures, while Lee et al.'s approach creates the same signature for two different pictures. Consequently, for type-0 signatures, our approach can provide a lower rate of a false match than Lee et al.'s approach, so can be the cases for type-1 and type-2 signatures.

To clearly compare the performance of our proposed strategy and Lee et al.'s [33] strategy, we do a simulation study for both strategies. In this simulation, we consider 20 ( $= N$ ) different objects and 2000 pictures in the database. For each object, it can appear in a picture with  $100000 * 100000$  points. For each picture,  $M$  different objects are randomly generated to appear in the picture. There are 100 query pictures, where each query picture contains 2 different objects. For Lee et al.'s strategy, we let the bit pattern width for an object record signature be 15, and the total bit pattern width for a type-0 record signature be  $50 * 5$  (with 50 bits for each category), for a type-1 record signature be  $250 * 5$  and for a type-2 record signature be  $250 * 2$ , resulting in a total 2015 bits as a record signature for a picture. For our proposed strategy, we let the number of bits in a record signature be 100, and the the number of 1's appearing in each record signature be 2, resulting in a total 2626 bits as a record signature for a picture. Note that the size of 2626 bits is the upper bound of a type-2 record signature of our strategy, but actually, the average size of the reduced form of our type-2 record signatures for a picture is far smaller than 2626. When  $M = 10$  and 5, the average size of the reduced form of our type-2 record signatures is 1489 and 942 bits, respectively, from this simulation result. The maximum size of the reduced from of our type-2 record signatures for a picture is 2026 and 1426, when  $M = 10$

	Object	Type-0	Type-1	Type-2
2D-B based	25%	63%	9.6%	1.2%
Proposed	67%	78%	43%	30%

(a)

	Object	Type-0	Type-1	Type-2
2D-B based	49%	49%	5%	0.4%
Proposed	49%	54%	23%	6%

(b)

Table 4: A comparison of the correct match rate: (a)  $M=5$ ; (b)  $M=10$ .

and 5, respectively. The minimum size of the reduced from of our type-2 record signatures for a picture is 1026 and 526, when  $M=10$  and 5, respectively. Tables 4-(a) and 4-(b) show the simulation result, the correct match rate, of these two strategies when  $M=5$  and 10, respectively. From this table, we show that our approach can provide a higher rate of a correct match and needs a smaller storage requirement than Lee et al.’s approach. To further reduce the number of comparison with each record signature in the image database, a block signature ( $BS$ ) can be used in the proposed strategy as in other strategies [9, 16]. The algorithm to find  $BS$  is almost the same as  $RS$ . The only one difference between them is that we use another function  $\theta_b$  according to the given  $k_b$  and  $b_b$  to get the block signatures of object blocks.

## 8 Conclusions

In the previous approaches to retrieve symbolic pictures from a large iconic image database, they only consider pictures of type-2 similarity. When queries of objects, type-0 similarity and type-1 similarity are asked, signatures for objects, type-0 similarity and type-1 similarity are required. In this paper, first, we have presented revised version of Y. I. Chang and Yang’s bit-string-based access strategy [16], which construct type-2 signatures. Based on the revised version, we have proposed algorithms to convert those type-2 signatures into *object* signatures, *type-0* signatures and *type-1* signatures so that we can answer queries of object similarity, type-0 similarity, type-1 similarity and type-2 similarity by storing only

one type of signatures, i.e., the type-2 signatures. While in [33], Lee et al. have to construct 4 types of signatures in the database to achieve the same goal. From our simulation, we have shown that our approach can provide a higher rate of a correct match and needs a smaller storage requirement than Lee et al.'s approach.

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## Appendix A

### A Revised Version of the Prime-Number-Based Access Strategy

Since in C. C. Chang et al.'s fast spatial match access strategy [9], bit-patterns are used as signatures and a bit-wise-or operation is used to union the signatures of the set of object pairs, a false match may occur. Note that the result of a bit-wise-or operation for some signatures may form another signature which is already defined. Therefore, to further reduce the rate of false match, in [10], C. C. Chang proposed a *module-oriented signature extraction* that uses the module operation to filter out the impossible images [10]. In this strategy, the bit strings used in C. C. Chang's fast spatial match access strategy [9] are replaced with prime numbers, the bit-wise-or operation is replaced with a multiply operation, and the bit-wise-and operation in the query processing is replaced with a module operation.

Following the similar idea, Y. I. Chang and Yang presented a prime-number-based access strategy [16]. In Y. I. Chang and Yang's second strategy, the data structure of a record signature is almost the same as their first strategy. The only one difference is that  $RS^2$  consists of 26 products of prime numbers instead of 26 bit strings. And  $RS^{2x}(i) = \Pi \theta(ABi)$ ,  $ABi \in T^x$ ,  $1 \leq i \leq 13$ ,  $RS^{2y}(i) = \Pi \theta(ABi)$ ,  $ABi \in T^y$ ,  $1 \leq i \leq 13$ . Similarly, to efficiently construct object, type-0 and type-1 signatures from a given type-2 signature based on the prime number approach, we now present a revised version of Y. I. Chang and Yang's prime-number-based access strategy. The main difference between the revised version and the original one [16] is the assignment of a unique value to each of spatial operators. Take Figure 12 as an example, we can have

$$RS = 1010000010000 \ 001001001000 \ 3 \ 2 \ 5 \ 5 \ 2 \ 3,$$

where the hash function  $\theta$  is shown as follows.

spatial string	$\theta$
AB	2
AC	3
BC	5

The main differences between the algorithms for the prime-number-based version and the bit-pattern-based version are as follows:

1. Replace  $OSR = 0$  ( $CLASS^2 = 0$ ,  $NSWE^2 = 0$ ) in the bit-pattern-based version with  $OSR = 1$  ( $CLASS^2 = 1$ ,  $NSWE^2 = 1$ ) in the prime-number-based version.
2. Replace the  $\cup$  operator in the bit-pattern-based version with the  $\times$  operator in the prime-number-based version in some places.
3. Replace the  $\cap$  operator in the bit-pattern-based version with the  $GCD$  operator in the prime-number-based version in some places.
4. Replace the  $\cup_i^j$  operator in the bit-pattern-based version with the  $\prod_i^j$  operator in the prime-number-based version in some places.

Given a type-2 prime-number-based record signature, the algorithms to convert such a type-2 record signature into the related object record signature, the related type-0 record signature, and the related type-1 record signature, are shown as follows.

**Algorithm Object\***

**(Convert a Type-2 Record Signature into an Object Record Signature)**

(Step 1) Let the numbers of bits which are set to 1 in  $RS^{1x}$  and  $RS^{1y}$  to  $C^x$  and  $C^y$ , respectively. If  $C^x \leq C^y$ , let  $TRS^1 = RS^{1x}$  and  $TRS^2 = RS^{2x}$ ; otherwise, let  $TRS^1 = RS^{1y}$  and  $TRS^2 = RS^{2y}$ .

(Step 2) Set  $ORS = 1$ .

(Step 3) For  $i = 1$  to 13 do

If  $TRS^1(i) = 1$  then  $ORS = ORS \times TRS^2(i)$ , where  $TRS^1(i)$  means the  $i$ -th bit of  $TRS^1$  and  $TRS^2(i)$  means the  $i$ -th prime number of  $TRS^2$ .

**Algorithm Type-0\***

**(Convert a Type-2 Record Signature into a Type-0 Record Signature)**

(Step 1) Set every bit in  $CLASS^1$  to 0, and set every number in  $CLASS^2$  to 1.

(Step 2)  $CLASS^1(1) = \cup_{i=1}^2 RS^{1x}(i) \times \cup_{i=1}^2 RS^{1y}(i)$  /\* Disjoin \*/

if  $CLASS^1(1) = 1$  then

$CLASS^2(1) = \prod_{i=1}^2 RS^{2x}(i) \times \prod_{i=1}^2 RS^{2y}(i)$



$$(Step\ 3)\ CLASS^1(2) = \begin{pmatrix} \bigcup_{i=3}^4 RS^{1x}(i) \cap \bigcup_{i=3}^{13} RS^{1y}(i) \\ \bigcup_{i=5}^{13} RS^{1x}(i) \cap \bigcup_{i=3}^4 RS^{1y}(i) \end{pmatrix} \quad /*\ Join\ */$$

if  $CLASS^1(2) = 1$  then

$$CLASS^2(2) = \begin{pmatrix} GCD(\prod_{i=3}^4 RS^{2x}(i), \prod_{i=3}^{13} RS^{2y}(i)) \\ GCD(\prod_{i=5}^{13} RS^{2x}(i), \prod_{i=3}^4 RS^{2y}(i)) \end{pmatrix} \times$$

$$(Step\ 4)\ CLASS^1(3) = \bigcup_{i=7}^{10} RS^{1x}(i) \cap \bigcup_{i=7}^{10} RS^{1y}(i) \quad /*\ Contain\ */$$

if  $CLASS^1(3) = 1$  then

$$CLASS^2(3) = GCD(\prod_{i=7}^{10} RS^{2x}(i), \prod_{i=7}^{10} RS^{2y}(i))$$

$$(Step\ 5)\ CLASS^1(4) = \bigcup_{i=10}^{13} RS^{1x}(i) \cap \bigcup_{i=10}^{13} RS^{1y}(i) \quad /*\ Belong\ */$$

if  $CLASS^1(4) = 1$  then

$$CLASS^2(4) = GCD(\prod_{i=10}^{13} RS^{2x}(i), \prod_{i=10}^{13} RS^{2y}(i))$$

$$(Step\ 6)\ CLASS^1(5) = \begin{pmatrix} \bigcup_{i=5}^6 RS^{1x}(i) \cap \bigcup_{i=5}^{13} RS^{1y}(i) \\ \bigcup_{i=7}^{13} RS^{1x}(i) \cap \bigcup_{i=5}^6 RS^{1y}(i) \\ \bigcup_{i=7}^9 RS^{1x}(i) \cap \bigcup_{i=11}^{13} RS^{1y}(i) \\ \bigcup_{i=11}^{13} RS^{1x}(i) \cap \bigcup_{i=7}^9 RS^{1y}(i) \end{pmatrix} \quad /*\ Partial\ overlap\ */$$

if  $CLASS^1(5) = 1$  then

$$CLASS^2(5) = \begin{pmatrix} GCD(\prod_{i=5}^6 RS^{2x}(i), \prod_{i=5}^{13} RS^{2y}(i)) \\ GCD(\prod_{i=7}^{13} RS^{2x}(i), \prod_{i=5}^6 RS^{2y}(i)) \\ GCD(\prod_{i=7}^9 RS^{2x}(i), \prod_{i=11}^{13} RS^{2y}(i)) \\ GCD(\prod_{i=11}^{13} RS^{2x}(i), \prod_{i=7}^9 RS^{2y}(i)) \end{pmatrix} \times$$

### Algorithm Type-1\*

(Convert a Type-2 Record Signature into a Type-1 Record Signature)

(Step 1) Set every bit in  $NSWE^1$  to 0, and set every number in  $NSWE^2$  to 1.

(Step 2) For  $i \in \{2, 4, 6, 8, 9\}$  do /\* North \*/

if  $RS^{1y}(i)$  is 1, set  $NSWE^1(1)$  to 1, and let  $NSWE^2(1) = NSWE^2(1) \times RS^{2y}(i)$

(Step 3) For  $i \in \{1, 3, 5, 7, 9\}$  do /\* South \*/

if  $RS^{1y}(i)$  is 1, set  $NSWE^1(2)$  to 1, and let  $NSWE^2(2) = NSWE^2(2) \times RS^{2y}(i)$

(Step 4) For  $i \in \{1, 3, 5, 7, 9\}$  do /\* West \*/

if  $RS^{1x}(i)$  is 1, set  $NSWE^1(3)$  to 1, and let  $NSWE^2(3) = NSWE^2(3) \times RS^{2x}(i)$

(Step 5) For  $i \in \{2, 4, 6, 8, 9\}$  do /\* East \*/  
     if  $RS^{1x}(i)$  is 1, set  $NSWE^1(4)$  to 1, and let  $NSWE^2(4) = NSWE^2(4) \times$   
      $RS^{2x}(i)$